

Unifier Guidance for Transmittal, RFI, Material / Construction Submittal, and Survey Request Records

(Examples listed below are not exhaustive)

- 1) **Transmittals (V2)** (Communication Sent and Received, anything not requiring acceptance/approval)
 - a. **General Transmittal**
 - Miscellaneous
 - Other
 - b. **Statement**
 - Weekly Statement of Working Days
 - Other Statement
 - c. **Letter**
 - Serial Letter – Response Required
 - Serial Letter – No Response Required
 - Other Letter
 - d. **Notice**
 - Notice to Proceed
 - Order to Suspend Work 421-006
 - Order to Resume Work 421-007
 - Substantial Completion
 - Physical Completion
 - Contract Completion
 - Materials Certification Memo
 - Tax Rate (FICA, SUTA, FUTA)
 - Other Notice
 - e. **List**
 - Contractor Personnel List
 - Subcontractor List
 - WSDOT Personnel List
 - Other List
 - f. **Progress Estimate**
 - Monthly Pay Estimate
 - Comparison of Quantities
 - Sales Tax Summary
 - Other
 - g. **Change Order Documentation**
 - Executed Change Order
 - Executed Minor Change Order
 - Other CO Document
 - h. **Meeting Agenda**
 - Pre-Construction Meeting
 - Deck Pre-Pour Meeting
 - Other Meeting
 - i. **Request**
 - Request for Weather Days
 - Request for Suspension of Working Days
 - Materials on Hand Request
 - Other Request

- j. Claims & Disputes**
 - Claims & Disputes Documentation
 - Third Party Damage Reports
 - Other
 - k. Report**
 - Prime Contractor Performance Report
 - Other Report
- 2) **RFIs** (see Standard Specifications 1-05.1(2) Requests for Information (RFI), for more detail)
- a. Missing Information**
 - b. Clarification**
 - c. Request for Change, RFC – Construction Deficiency/ Repair procedure**
 - d. Request for Change, RFC – Material Substitution**
 - e. Request for Change, RFC – Other**
- 3) **Material Submittals** (Anything requiring acceptance/approval by WSDOT, and reviewed by Materials Documentation Engineer in the project office)
- Note: Material Submittal selections: Bid Item/ Material Submittal Type/ Material Acceptance Documents;** for multi-item Material Submittals, select first Bid Item on the Materials Submittal Main Form, and additional Bid Items on the Bid Items Tab.
- a. Material Submittal Types**
 - RAM
 - QPL
 - General Materials
 - b. Materials Acceptance Documents**
 - Cat Cuts
 - CMO (for Build America/ Buy America (BABA) CMO, see Construction Submittals)
 - Mill Cert
 - MCC
 - Test Reports
 - Tickets
 - Other
- 4) **Construction Submittals** (Anything requiring acceptance/approval by WSDOT)
- Note: Construction Submittal selections in parenthesis: (Bid Item if appropriate/ Construction Submittal Type);** for multi-item Construction Submittals, select first Bid Item on the Construction Submittal Main Form, and additional Bid Items on the Bid Items Tab.
- a. Bar Schedule** (Bid Item/Drawings & Plans)
 - b. Bridge Deck Curing** (Bid Item/Drawings & Plans)
 - c. Build America/ Buy America (BABA) CMO** (Payment Record)
 - d. Change Order Authorized Signatures** (General Requirements)
 - e. Change Order Proposals – VECF** (Drawings & Plans)
 - f. Concrete Mixing Plan** (Bid Item/Drawings & Plans)
 - g. Contractor Provided Survey Documentation** (Bid Item/Drawings & Plans)
 - For bid items Roadway Surveying and Structure Surveying
 - Includes Detailed Survey Records: calculations, staking data, computed elevation working drawings
 - h. E-Ticketing Plan** (Bid Item/Drawings & Plans)
 - i. Emergency Contacts** (General Requirements)

- j. **ESC Lead Certification (optional)** (Bid Item/ Environmental)
- k. **Expansion Joint System** (Bid Item/Drawings & Plans)
- l. **Federal Aid Highway Construction Contractors Annual EEO Report** (OEO-EEO)
- m. **FCVC Authorization Signatures** (General Requirements)
- n. **Force Account Labor List** (Bid Item/Payment Record)
- o. **Force Account Equipment List, Invoices, and other documentation (optional)** (Bid Item/Payment Record)
- p. **FPCC Plan** (General Requirement)
- q. **Girder Erection Plan** (Bid Item/Drawings & Plans)
- r. **Lump Sum Breakdowns** (Bid Item/Payment Record)
- s. **Materials on Hand** (Bid Item/Payment Record)
- t. **Primary DBE Truck Unit Listing Log** (OEO/EEO)
- u. **Progress Schedules** (Schedules)
- v. **Recycled Material Utilization Plan** (General Requirements)
- w. **Recycled Material Utilization Reporting** (General Requirements)
- x. **Repair Procedures** (Bid Item/Drawings & Plans)
- y. **Request to Sublet – Including Federal Aid Cert** (Bid Item/ Request to Sublet)
- z. **Scale Certification** (Scale Certification)
- aa. **SPCC Plan** (Bid Item/Drawings & Plans)
- bb. **Shop Drawings** (Bid Item/ Drawings & Plans)
- cc. **TCS Reports** (Traffic Control - Reports)
- dd. **TESC Plan** (Environmental)
- ee. **Look Ahead Schedules** (Schedules)
- ff. **Traffic Control – Plans / Documents**
 - Traffic Control Adoption Letter (Traffic Control - Plans/Documents)
 - Flagging Cards (optional) (Traffic Control - Plans/Documents)
 - TCS Certification Cards (optional) (Traffic Control - Plans/Documents)
 - Contractor Proposed Traffic Control Plans (Traffic Control - Plans/Documents)
- gg. **Training Program/Trainee Approval Request** (Bid Item/OEO/EEO)

5) **Survey Requests**

- a. **Survey Type**
 - General Topo
 - Control Network
 - Alignment
 - Layout
 - Restaking
 - As-Built
 - ROW Limit
 - Sundry Site Plan
 - Land Plat
 - Ferry Terminal
 - Utilities
 - Scanning
 - sUAS (Drone Flights)
 - Other (Explain)

6) **Items not in Unifier**

a. Prevailing Wage Intent and Affidavit (PWIA)

- Affidavit of Wages Paid
- Apprentice Utilization
 - o Utilization Plan
 - o Good Faith Effort Documentation
- Certified Payrolls (PWIA)
- Statement of Intent to Pay Prevailing Wage

b. Diversity Management Compliance System (DMCS)

- Daily DBE Truck Unit Listing Log (Contractor emails to OEO)
- DBE Executed Subcontracts (Contractor emails to OEO)
- Final DBE Payment Report (WSDOT emails to OEO)
- Monthly Payment Summary (Emailed to OEO)
- Monthly Retainage Report (Contractor emails to OEO)
- On-site DBE/MSVWBE interviews (WSDOT emails to OEO)